

CLAIMS

1. (currently amended) A method of carrier phase detection in a demodulated signal formed from a data-modulated carrier, the method comprising the steps of:

- a) generating, from the signal, an estimate of an angle between the carrier and a locally generated reference based on a stochastic gradient of a single-axis (SA) cost function, the cost function being a Bussgang-class cost function; and
- b) adjusting at least one of the frequency and phase of the demodulated signal based on the angle such that the magnitude of the angle is driven toward a predetermined value, wherein:

step a) generates the estimate by:

- a1) calculating an SA cost function error term based on the demodulated signal, wherein the single-axis cost function is a single-axis constant modulus criterion J_{CM} ;
 - a2) forming an approximation of a derivative of the demodulated signal with respect to the angle; and
 - a3) combining the SA cost function error term with the approximation to form a phase error; and
 - a4) generating the angle from the phase error; and
- for step a3), the phase error is the stochastic gradient of the single-axis constant modulus criterion J_{CM} ($dJ_{CM}/d\theta$) given by:

$$dJ_{CM}/d\theta = 4e_{SA-CM}[n] DT[n],$$

where e_{SA-CM} is the SA cost function error term defined by $(\text{Re}\{y_n(\theta)\})^2 - \rho^2 \text{Re}\{y_n(\theta)\}$, $y_n(\theta)$ is input data based on the demodulated signal, and $DT[n]$ approximates a derivative of the demodulated signal with respect to the angle θ ($d(y_n(\theta))/d\theta$).

2-4. (canceled)

5. (currently amended) The invention as recited in claim [[4]] 1, wherein $e_{SA-CM}[n]$ is based on a rotated signal $y_n(\theta)e^{-j\theta[n]}$, and $DT[n]$ is equivalent to:

$$\text{Re}\{y_n(\theta)e^{-j\theta[n]}\} = \text{Re}\{y_n(\theta)\}\cos(\theta[n]) + \text{Im}\{y_n(\theta)\}\sin(\theta[n]),$$

where $\text{Re}\{\cdot\}$ extracts the (real) I component.

6. (currently amended) The invention as recited in claim [[4]] 1, wherein $e_{SA-CM}[n]$ is based on a rotated data signal $y_n(\theta)e^{-j\theta[n]}$ adjusted to account for feedback filter equalization, and $DT[n]$ is equivalent to:

$$\text{Re}\{y_n(\theta)e^{-j\theta[n]}\} = \text{Re}\{y_n(\theta)\}\cos(\theta[n]) + \text{Im}\{y_n(\theta)\}\sin(\theta[n]),$$

where $\text{Re}\{\cdot\}$ extracts the (real) I component.

7. (currently amended) The invention as recited in claim [[4]] 1, wherein $e_{SA-CM}[n]$ is based on a decision $d[n]$ for a rotated data signal $y_n(\theta)e^{-j\theta[n]}$ adjusted to account for feedback filter equalization ($y_n(\theta)e^{-j\theta[n]}-w[n]$), the decision $d[n]$ given as:

$$f(\text{Re}\{y_n(\theta)e^{-j\theta[n]}-w[n]\})$$

where $f(\cdot)$ denotes the decision function which operates on a real-valued data signal, and $DT[n]$ is equivalent to:

$$f(\text{Re}\{y_n(\theta)e^{-j\theta[n]}-w[n]\}) \text{Im}\{y_n(\theta)e^{-j\theta[n]}-w[n]\}$$

where $\text{Im}\{\cdot\}$ extracts the (imaginary) Q component, and $f(\cdot)$ is the derivative of the decision function.

8. (currently amended) The invention as recited in claim [[4]] 1, wherein $e_{SA-CM}[n]$ is based on the data signal $z[n]e^{-j\theta[n]}$, where $z[n] = y_n(\theta)-w[n]$, $y_n(\theta)$ is the data signal having forward filter equalization, and $w[n]$ is the feedback filtered equalized data signal, and $DT[n]$ is equivalent to:

$$\text{Re}\{y_n(\theta)-w[n]\}\cos(\theta[n]) + \text{Im}\{y_n(\theta)-w[n]\}\sin(\theta[n]).$$

9. (currently amended) The invention as recited in claim [[4]] 1, wherein $e_{SA-CM}[n]$ is based on a real component of a decision $d[n]$, $\text{Re}\{d[n]\}$, for a rotated data signal $y_n(\theta)e^{-j\theta[n]}$ adjusted to account for feedback filter equalization ($y_n(\theta)e^{-j\theta[n]}-w[n]$), the decision $d[n]$ given as:

$$f(\text{Re}\{y_n(\theta)e^{-j\theta[n]}-w[n]\})$$

where $f(\cdot)$ denotes the decision function which operates on a real valued data signal, and $DT[n]$ is equivalent to:

$$f(\text{Re}\{(y_n(\theta)-w[n])e^{-j\theta[n]}\}) \text{Re}\{(y_n(\theta)-w[n])e^{-j\theta[n]}\}$$

$$f(\text{Re}\{(y_n(\theta)-w[n])e^{-j\theta[n]}\}) \text{Im}\{(y_n(\theta)-w[n])e^{-j\theta[n]}\}$$

where $\text{Re}\{\cdot\}$ extracts the (real) I component, and $f(\cdot)$ is the derivative of the decision function..

10. (currently amended) The invention as recited in claim [[3]] 1, wherein, for step b), ~~adjusting~~ the locally generated reference ~~includes the step of is adjusted by~~ shifting, in frequency, the demodulated signal substantially to baseband.

11. (currently amended) The invention as recited in claim [[2]] 1, further comprising the steps of:

- c) generating a signal quality measure (SQM) from the received demodulated signal; and
 - d) generating at least one other cost error term based on a corresponding cost criterion, and
- wherein
step a) generates the angle based on the SQM.

12. (currently amended) The invention as recited in claim 11, wherein step a) generates the angle based on the SQM by ~~the step of~~ adaptively switching between either i) one of the cost error terms, or ii) a weighted combination of cost error terms that is combined with the approximation of the derivative.

13. (original) The invention as recited in claim 11, wherein one of the cost error terms is a least mean square error term.

14. (original) The invention as recited in claim 11, wherein one of the cost error terms is a CMA error term.

15. (currently amended) The invention as recited in claim 1, further comprising ~~the steps of~~ applying equalization to the demodulated signal with forward and/or feedback filters.

16. (original) The invention as recited in claim 15, wherein step a) generates the estimate of the angle based on the equalized, demodulated signal.

17. (currently amended) The invention as recited in claim 15, further comprising ~~the step of~~ generating a decision for the data of the equalized, demodulated signal, and wherein step a) generates the estimate of the angle based on the decision for the data of the equalized, demodulated signal.

18. (currently amended) The invention as recited in claim [[1,]] 17, wherein step a) generates the estimate of the angle based on both the equalized, demodulated signal and on the decision for the data of the equalized, demodulated signal.

1 19. (currently amended) The invention as recited in claim 15, wherein ~~the step of~~ applying
2 equalization applies either linear equalization or decision feedback equalization.

1 20. (currently amended) The invention as recited in claim 15, wherein step a) generates the
2 angle based on ~~[[a]]~~ an SA cost function error term that is generated during equalizer adaptation as
3 tap-coefficients are updated by applying equalization to the demodulated signal.

1 21. (currently amended) The invention as recited in claim 15, wherein ~~the step of~~ applying
2 equalization employs the feedback filter operating on signals either in the passband or substantially near
3 the baseband derived from the forward filter.

1 22. (original) The invention as recited in claim 1, wherein, for step a), the data-modulated
2 signal is the carrier modulated by the data in accordance with a vestigial sideband (VSB) format.

1 23. (original) The invention as recited in claim 1, wherein, for step a), the data-modulated
2 signal is a digital television signal having its data encoded in accordance with an ATSC standard.

1 24. (currently amended) Apparatus for carrier phase detection in a demodulated signal
2 formed from a data-modulated carrier, the apparatus comprising:
3 a carrier tracking loop configured to generate, from the signal, an estimate of an angle between
4 the carrier and ~~[[the]]~~ a locally generated reference ~~from the signal~~ and based on a stochastic gradient of
5 a single-axis (SA) cost function, the cost function being ~~selected from a set of~~ Bussgang-class cost
6 ~~functions~~ function; and
7 a rotation combiner adapted to adjust at least one of the frequency and phase of the demodulated
8 signal with based on the angle such that the magnitude of the angle is driven ~~[[to]]~~ toward a
9 predetermined value, wherein:
10 the carrier tracking loop comprises:

11 a1) a phase detector adapted to calculate an SA cost function error term based on the
12 demodulated signal, wherein the single-axis cost function is a single-axis constant modulus criterion J_{CM} ;

13 a2) a first circuit configured to form an approximation of a derivative of the
14 demodulated signal with respect to the angle; and

15 a3) a rotation combiner configured to combine the SA cost function error term with
16 the approximation to form a phase error; and

17 a4) a second circuit configured to generate the angle from the phase error; and
18 the phase error is the stochastic gradient of the single-axis constant modulus criterion J_{CM}
19 $(dJ_{CM}/d\theta)$ given by:

$$dJ_{CM}/d\theta = 4e_{SA-CM}[n] DT[n],$$

20 where e_{SA-CM} is the SA cost function error term defined by $(\text{Re}\{y_n(\theta)\})^2 - \rho^2 \text{Re}\{y_n(\theta)\}$, $y_n(\theta)$ is input data
21 based on the demodulated signal, and $DT[n]$ approximates a derivative of the demodulated signal with
22 respect to the angle θ ($d(y_n(\theta))/d\theta$).
23

1 25-27. (canceled)

1 28. (currently amended) The invention as recited in claim ~~[[25]]~~ 24, wherein the rotation
2 combiner ~~adjusts~~ is adapted to adjust the locally generated reference to shift, in frequency, the
3 demodulated signal substantially to baseband.

1 29. (currently amended) The invention as recited in claim ~~[[25]]~~ 24, further comprising ~~the~~
2 ~~steps of:~~

3 a signal quality measure processor configured to generate a signal quality measure (SQM) from
4 the ~~data-modulated~~ demodulated signal; and
5 at least one other phase detector, each phase detector configured to generate a corresponding cost
6 function error term based on a corresponding cost criterion, and wherein
7 the carrier tracking loop ~~generates~~ adapted to generate the angle ~~with a cost function error term~~
8 ~~selected~~ based on the SQM.

1 30. (currently amended) The invention as recited in claim 29, wherein the carrier tracking
2 loop ~~generates~~ is adapted to generate the angle based on the SQM by adaptively switching between either
3 i) one of the cost error terms, or ii) a weighted combination of cost error terms that is combined with the
4 approximation of the derivative.

1 31. (currently amended) The invention as recited in claim 29, wherein at least one other cost
2 error ~~terms~~ term is a least mean square error term.

1 32. (original) The invention as recited in claim 24, further comprising an equalizer having a
2 forward filter and a feedback filter, the carrier tracking loop coupled to the forward filter to receive the
3 demodulated signal.

1 33. (original) The invention as recited in claim 32, wherein the estimate of the angle is
2 based on the demodulated signal filtered with the forward filter.

1 34. (currently amended) The invention as recited in claim 32, further comprising a decision
2 circuit adapted to generate a decision for the data of the equalized, demodulated signal, and wherein the
3 carrier tracking loop ~~generates~~ is adapted to generate the estimate of the angle based on the decision for
4 the data of the equalized, demodulated signal.

1 35. (original) The invention as recited in claim 34, wherein the estimate of the angle is
2 based on both the equalized, demodulated signal and on the decision for the data of the equalized,
3 demodulated signal.

1 36. (original) The invention as recited in claim 32, wherein the equalizer is either a linear
2 equalizer or a decision feedback equalizer.

1 37. (currently amended) The invention as recited in claim 32, wherein the carrier tracking
2 loop ~~receives~~ is adapted to receive an SA- cost function error term to generate the estimate of the angle,
3 the SA- cost function error term generated during a tap-coefficient update process of the equalizer.

1 38. (currently amended) The invention as recited in claim 32, wherein the equalizer ~~employs~~
2 is adapted to employ the feedback filter operating on signals either in the passband or substantially near
3 the baseband derived from the forward filter.

1 39. (original) The invention as recited in claim 24, wherein the data-modulated signal is the
2 carrier modulated by the data in accordance with a vestigial sideband (VSB) format.

1 40. (original) The invention as recited in claim 24, wherein the data-modulated signal is a
2 digital television signal having its data encoded in accordance with an ATSC standard.

1 41. (currently amended) A computer-readable medium having stored thereon a plurality of
2 instructions, the plurality of instructions including instructions which, when executed by a processor,

cause the processor to implement a method for carrier phase detection in a demodulated signal formed from a data-modulated carrier, the method comprising ~~the steps of~~:

- a) ~~generating, from the signal,~~ an estimate of an angle between the carrier and ~~[[the]]~~ a locally generated reference ~~from the signal and~~ based on a stochastic gradient of a single-axis cost function, the cost function being ~~selected from a set of~~ Bussgang-class cost functions ~~function~~; and
- b) adjusting at least one of the frequency and phase of the demodulated signal based on the angle such that the magnitude of the angle is driven ~~[[to]]~~ toward a predetermined value, wherein:
 - step a) generates the estimate by:
 - a1) calculating an SA cost function error term based on the demodulated signal,wherein the single-axis cost function is a single-axis constant modulus criterion J_{CM} ;
 - a2) forming an approximation of a derivative of the demodulated signal with respect to the angle; and
 - a3) combining the SA cost function error term with the approximation to form a phase error; and
 - a4) generating the angle from the phase error; andfor step a3), the phase error is the stochastic gradient of the single-axis constant modulus criterion J_{CM} ($dJ_{CM}/d\theta$) given by:

$$dJ_{CM}/d\theta = 4e_{SA-CM}[n] DT[n],$$
where e_{SA-CM} is the SA cost function error term defined by $(\text{Re}\{y_n(\theta)\})^2 - \rho^2$ $\text{Re}\{y_n(\theta)\}$, $y_n(\theta)$ is input data based on the demodulated signal, and $DT[n]$ approximates a derivative of the demodulated signal with respect to the angle θ ($d(y_n(\theta))/d\theta$).

42. (currently amended) A method of carrier phase detection in a demodulated signal formed from a data-modulated carrier, the method comprising ~~the steps of~~:

- a) generating, from the signal, an estimate of an angle between the carrier and a locally generated reference based on an estimate of a gradient of a single-axis cost function, the cost function being a Bussgang-class cost function; and
- b) adjusting at least one of the frequency and phase of the demodulated signal based on the angle such that the magnitude of the angle is driven toward a predetermined value, wherein:
 - step a) generates the estimate by:
 - a1) calculating an SA cost function error term based on the demodulated signal,wherein the single-axis cost function is a single-axis constant modulus criterion J_{CM} ;
 - a2) forming an approximation of a derivative of the demodulated signal with respect to the angle; and
 - a3) combining the SA cost function error term with the approximation to form a phase error; and
 - a4) generating the angle from the phase error; andfor step a3), the phase error is a stochastic gradient of the single-axis constant modulus criterion J_{CM} ($dJ_{CM}/d\theta$) given by:

$$dJ_{CM}/d\theta = 4e_{SA-CM}[n] DT[n],$$
where e_{SA-CM} is the SA cost function error term defined by $(\text{Re}\{y_n(\theta)\})^2 - \rho^2$ $\text{Re}\{y_n(\theta)\}$, $y_n(\theta)$ is input data based on the demodulated signal, and $DT[n]$ approximates a derivative of the demodulated signal with respect to the angle θ ($d(y_n(\theta))/d\theta$).

43. (new) A method of carrier phase detection in a demodulated signal formed from a data-modulated carrier, the method comprising:

- a) generating, from the signal, an estimate of an angle between the carrier and a locally generated reference based on a stochastic gradient of a single-axis (SA) cost function, the cost function being a Bussgang-class cost function, wherein step a) generates the estimate by:
 - a1) calculating an SA cost function error term based on the demodulated signal;

7 a2) forming an approximation of a derivative of the demodulated signal with respect
8 to the angle; and
9 a3) combining the SA cost function error term with the approximation to form a
10 phase error; and
11 a4) generating the angle from the phase error; and
12 b) adjusting at least one of the frequency and phase of the demodulated signal based on the
13 angle such that the magnitude of the angle is driven toward a predetermined value;
14 c) generating a signal quality measure (SQM) from the received signal; and
15 d) generating at least one other cost error term based on a corresponding cost criterion, and
16 wherein step a) generates the angle based on the SQM.

1 44. (new) The invention as recited in claim 43, wherein step a) generates the angle based on
2 the SQM by adaptively switching between either i) one of the cost error terms, or ii) a weighted
3 combination of cost error terms that is combined with the approximation of the derivative.

1 45. (new) Apparatus for carrier phase detection in a demodulated signal formed from a
2 data-modulated carrier, the apparatus comprising:
3 a carrier tracking loop configured to generate, from the signal, an estimate of an angle between
4 the carrier and a locally generated reference based on a stochastic gradient of a single-axis (SA) cost
5 function, the cost function being a Bussgang-class cost function, wherein the carrier tracking loop
6 comprises:

7 a1) a phase detector adapted to calculate an SA cost function error term based on the
8 demodulated signal;

9 a2) a first circuit configured to form an approximation of a derivative of the
10 demodulated signal with respect to the angle; and

11 a3) a rotation combiner configured to combine the SA cost function error term with
12 the approximation to form a phase error; and

13 a4) a second circuit configured to generate the angle from the phase error;
14 a rotation combiner adapted to adjust at least one of the frequency and phase of the demodulated
15 signal based on the angle such that the magnitude of the angle is driven toward a predetermined value;

16 a signal quality measure processor configured to generate a signal quality measure (SQM) from
17 the demodulated signal; and

18 at least one other phase detector, each phase detector configured to generate a corresponding cost
19 function error term based on a corresponding cost criterion, wherein the carrier tracking loop is adapted
20 to generate the angle based on the SQM.

1 46. (new) The invention as recited in claim 45, wherein the carrier tracking loop is adapted
2 to generate the angle based on the SQM by adaptively switching between either i) one of the cost error
3 terms, or ii) a weighted combination of cost error terms that is combined with the approximation of the
4 derivative.